

JC961 U.S. PTO
10/22/01

11-08-01

EXPRESS MAIL NO.: EL408130492US

UTILITY PATENT APPLICATION TRANSMITTAL

PTO/SB/05 (03-01)

Attorney Docket No.:	CAS0067	Total Pages:	2
First-Named Inventor or Application Identifier	Mackie, Andrew William		
Title:	SYSTEM AND METHOD OF PROCESSING STRUCTURED TEXT FOR TEXT-TO-SPEECH SYNTHESIS		
Express Mail Label No.:	EL408130492US		

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS (see MPEP chapter 600 concerning utility patent application contents)	ADDRESS TO:	Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
---	-------------	--

1. ☒ Fee Transmittal Form *in duplicate*
2. ☒ Specification Total Pages
3. ☒ Drawings Total Sheets:
4. ☒ Oath or Declaration with Power of Attorney Total Pages
 - a. ☒ Newly Executed (original or copy)
 - b. ☐ Copy from prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ Deletion of Inventor(s):
Signed statement attached deleting inventor(s) named in the prior application (see 37 CFR §1.63(d)(2) and 1.33(b))
5. ☐ Incorporation by Reference (*useable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Application Data sheet. See 37 CFR 1.76
7. ☐ Nucleotide and/or Amino Acid Sequence Submission

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (*cover sheet and document(s)*)
9. ☐ 37 CFR §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
10. ☐ English Translation Document (*if applicable*)
11. ☐ Information Disclosure Statement (IDS) Form PTO/SB/08 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (*should be specially itemized*)
14. ☐ Certified Copy of Priority Document(s)

JC821 U.S. PTO
09/986217

10/22/01

The PTO did not receive the following listed item(s) page 12 and 14 of Drawing.

15.	<input type="checkbox"/>	Nonpublication Request Under 35USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
16.	<input type="checkbox"/>	Other:
17.	IF A CONTINUING APPLICATION <i>check appropriate box and supply the requisite information below and, if applicable, in a preliminary amendment:</i>	
	<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional
	<input type="checkbox"/> Continuation-in-Part (CIP)	Prior Appl. No. _____
Prior Appl. information:		Examiner: _____
		Group/Art Unit: _____

CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/>	Customer Number or Bar Code Label	20280	or	<input type="checkbox"/>	Correspondence address below
NAME		Hisashi D. Watanabe Attorney for Applicant(s)			
Reg. No.		37,465			
ADDRESS		Motorola, Inc. Law Department 600 North U.S. Highway 45			
CITY		Libertyville	STATE	IL	ZIP CODE 60048
COUNTRY		U.S.A.	TELEPHONE	847-523-2322	FAX 847-523-2350

SUBMITTED BY			
NAME	Hisashi D. Watanabe	Reg. No.	37,465
SIGNATURE	<i>Hisashi D. Watanabe</i>		
DATE	10/22/01	Deposit Account User ID	13-4768

PTO/SB/17 (11-00) FEE TRANSMITTAL Patent fees are subject to annual revision		Complete if Known	
		Application Number	
		Filing Date	10/22/01
		First Named Inventor	Andrew William Mackie
		Examiner Name	
		Group Art Unit	
TOTAL AMOUNT OF PAYMENT	(\$) 780.00	Attorney Docket No.	CAS0067

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number 13-4768 Deposit Account Name Motorola, Inc. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Fee</th> <th>Small Entity</th> <th>Fee</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late Provisional filing</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2520</td><td>147</td><td>2520</td><td>For filing a request for ex parte Reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1840*</td><td>113</td><td>1840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1510</td><td>138</td><td>1510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td></tr> <tr><td>141</td><td>1240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td></tr> <tr><td>142</td><td>1240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of IDS</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td></tr> <tr><td colspan="5">Other fee (specify)</td></tr> </tbody> </table>				Large Entity	Fee	Small Entity	Fee	Fee Description	105	130	205	65	Surcharge - late filing fee or oath	127	50	227	25	Surcharge - late Provisional filing	139	130	139	130	Non-English specification	147	2520	147	2520	For filing a request for ex parte Reexamination	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113	1840*	113	1840*	Requesting publication of SIR after Examiner action	115	110	215	55	Extension for reply within first month	116	390	216	195	Extension for reply within second month	117	890	217	445	Extension for reply within third month	118	1390	218	695	Extension for reply within fourth month	128	1890	228	945	Extension for reply within fifth month	119	310	219	155	Notice of Appeal	120	310	220	155	Filing a brief in support of an appeal	121	270	221	135	Request for oral hearing	138	1510	138	1510	Petition to institute a public use proceeding	140	110	240	55	Petition to revive - unavoidable	141	1240	241	620	Petition to revive - unintentional	142	1240	242	620	Utility issue fee (or reissue)	143	440	243	220	Design issue fee	144	600	244	300	Plant issue fee	122	130	122	130	Petitions to the Commissioner	123	50	123	50	Processing fee under 37 CFR 1.17(q)	126	180	126	180	Submission of IDS	581	40	581	40	Recording each patent assignment per property (times number of properties)	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	179	710	279	355	Request for Continued Examination (RCE)	169	900	169	900	Request for expedited examination of a design application	Other fee (specify)				
Large Entity	Fee	Small Entity	Fee	Fee Description																																																																																																																																																							
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																							
127	50	227	25	Surcharge - late Provisional filing																																																																																																																																																							
139	130	139	130	Non-English specification																																																																																																																																																							
147	2520	147	2520	For filing a request for ex parte Reexamination																																																																																																																																																							
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																							
113	1840*	113	1840*	Requesting publication of SIR after Examiner action																																																																																																																																																							
115	110	215	55	Extension for reply within first month																																																																																																																																																							
116	390	216	195	Extension for reply within second month																																																																																																																																																							
117	890	217	445	Extension for reply within third month																																																																																																																																																							
118	1390	218	695	Extension for reply within fourth month																																																																																																																																																							
128	1890	228	945	Extension for reply within fifth month																																																																																																																																																							
119	310	219	155	Notice of Appeal																																																																																																																																																							
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																							
121	270	221	135	Request for oral hearing																																																																																																																																																							
138	1510	138	1510	Petition to institute a public use proceeding																																																																																																																																																							
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																							
141	1240	241	620	Petition to revive - unintentional																																																																																																																																																							
142	1240	242	620	Utility issue fee (or reissue)																																																																																																																																																							
143	440	243	220	Design issue fee																																																																																																																																																							
144	600	244	300	Plant issue fee																																																																																																																																																							
122	130	122	130	Petitions to the Commissioner																																																																																																																																																							
123	50	123	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																							
126	180	126	180	Submission of IDS																																																																																																																																																							
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																							
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																							
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																							
179	710	279	355	Request for Continued Examination (RCE)																																																																																																																																																							
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																							
Other fee (specify)																																																																																																																																																											
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																																																																																																																																																											
FEE CALCULATION																																																																																																																																																											
1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>365</td><td>Utility filing fee</td><td>740.00</td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="5">SUBTOTAL (1)</td><td>(\$)740.00</td></tr> </tbody> </table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	365	Utility filing fee	740.00	106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee		SUBTOTAL (1)					(\$)740.00																																																																																																																
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																						
101	710	201	365	Utility filing fee	740.00																																																																																																																																																						
106	320	206	160	Design filing fee																																																																																																																																																							
107	490	207	245	Plant filing fee																																																																																																																																																							
108	710	208	355	Reissue filing fee																																																																																																																																																							
114	150	214	75	Provisional filing fee																																																																																																																																																							
SUBTOTAL (1)					(\$)740.00																																																																																																																																																						
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>20</td> <td>-20** =</td> <td>18</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>3</td> <td>-3** =</td> <td>80</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td>270</td> <td></td> </tr> </tbody> </table> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>** Reissue independent claims Over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="5">SUBTOTAL (2)</td></tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	20	-20** =	18		Independent Claims	3	-3** =	80	Multiple Dependent		270		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim, if not paid	109	80	209	40	** Reissue independent claims Over original patent	110	18	210	9	**Reissue claims in excess of 20 and over original patent	SUBTOTAL (2)																																																																																																											
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																								
20	-20** =	18																																																																																																																																																									
Independent Claims	3	-3** =	80																																																																																																																																																								
Multiple Dependent		270																																																																																																																																																									
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description																																																																																																																																																							
103	18	203	9	Claims in excess of 20																																																																																																																																																							
102	80	202	40	Independent claims in excess of 3																																																																																																																																																							
104	270	204	135	Multiple dependent claim, if not paid																																																																																																																																																							
109	80	209	40	** Reissue independent claims Over original patent																																																																																																																																																							
110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																																																							
SUBTOTAL (2)																																																																																																																																																											
SUBMITTED BY Name (Print/Type) Hisashi D. Watanabe Signature <i>Hisashi D. Watanabe</i>		Complete (if applicable) Registration No. 37,465 Telephone 847-523-2322 Mail Date 10/22/01																																																																																																																																																									